

A NEW ALGORITHM FOR DETERMINING  
THE MINIMUM SPANNING TREE

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Abstract

This paper presents a new algorithm for determining a minimum spanning tree of a connected weighted graph. This algorithm is simpler, more direct, and high speed.

1. Introduction

One approach to determining a minimum cost spanning tree of a graph has been given by Kruskal. In this approach a minimum cost spanning tree,  $T$ , is built edge by edge. As an example, consider the graph of figure 1.1 (a). The edge of this graph are

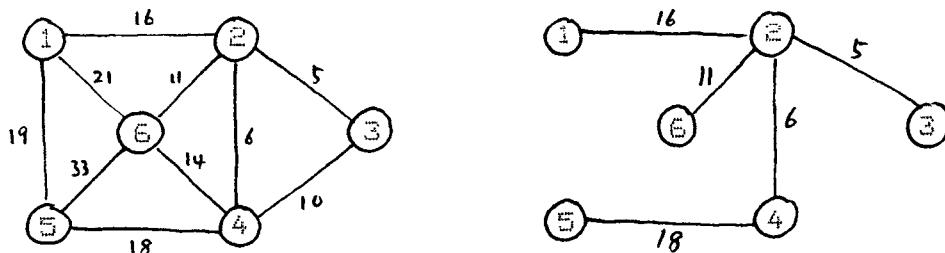


Figure 1.1 Graph and A spanning Tree of Minimum Cost considered for inclusion in the minimum cost spanning tree in the order (2, 3), (2, 4), (3, 4), (2, 6), (4, 6), (1, 2), (4, 5), (1, 5), (1, 6) and (5, 6).

This corresponds to the cost sequence 5, 6, 10, 11, 14, 16, 18, 19,

21 and 33. The first two edges  $(2,3)$  and  $(2,4)$  are included in  $T$ . The next edge to be considered is  $(4,3)$ . This edge, however, connects two vertices already connected in  $T$  and so it is rejected. The edge  $(2,6)$  is selected while  $(4,6)$  is rejected as the vertices 4 and 6 are already connected in  $T$  and the inclusion of  $(4,6)$  would result in a cycle. Finally, edges  $(1,2)$  and  $(4,5)$  are included. The spanning obtained (figure 1.1 (b)) has cost 56. It is somewhat surprising that this straightforward approach should always result in a minimum spanning tree. For clarity, the Kruskal algorithm is written out more formally in figure 1.2. Initially,  $\Sigma$  is the set of all edges in  $G$ . the only functions we wish to perform on this set are: (i) determining an edge with minimum cost (line 3), and (ii) deleting that edge (line 4).

1.  $T \leftarrow \emptyset$
2. While  $T$  contains less than  $n-1$  edges do
  3. choose an edge  $(v,w)$  from  $\Sigma$  of lowest cost;
  4. delete  $(v,w)$  from  $\Sigma$ ;
  5. if  $(v,w)$  does not create a cycle in  $T$ 
    6. then add  $(v,w)$  to  $T$
    7. else discard  $(v,w)$
  8. end

Figure 1.2 Early Form of Minimum spanning Tree Algorithm-Kruskal.

## 2. Fundamental Subgraph

We shall make the following definition.

**Definition 2.1.** Let  $G=(V,E)$  be a connected weighted graph, Where  $V=(V_1, \dots, V_n)$ . Choose an edge with minimum weight from the edges connecting vertex  $V_i$ , it is called the basic, and denoted  $e'_i$  ( $i=1, \dots, n$ ). Let  $E'=(e'_1, \dots, e'_n)$ , then  $G_1=(V, E')$  is called the fundamental subgraph of  $G$ .

**Proposition 2.1.** Let  $G=(V,E)$  be a connected weighted graph, where  $V=(V_1, \dots, V_n)$ , then  $G=(V, E')$  has the properties:

- (1) Any of the cycle is not formed in the  $G_1$ ;
- (2) Any of the connected components of  $G_1$  all is tree;
- (3) Suppose  $G_1$  have  $K$  connected components, then

$$K = n - \text{Card}(E')$$

where the card ( $E'$ ) denotes the cardinal number of the set  $E'$ .

Proof. Suppose  $G_1$  have one cycle, then this cycle has an edge with maximum weight. But either vertex of this edge has 2 basic edges. This contradicts the definition 2.1. Hence, there is no such cycle and (1) is proved.

According to the (1), the proof of the (2) is straightforward.

Therefore  $E'$  has at least a pair. repeated members, because  $G$  has the edge with minimum weight. Therefore we may suppose that the  $E'$  has  $N$  pair of repeated members:

$$e'_1, e'_2, \dots, e'_{2k-1}, e'_{2k}$$

where  $e'_{2d-1} = e'_{2d}$ , for  $d = 1, \dots, k$

But  $B((V_1, W_1), e'), \dots, B((V_k, W_k), e')$

are disjoint connected components. Where

$$e'_{2d-1} = (V_d, W_d), \quad \text{for } d = 1, 2, \dots, N$$

According to the definition 2.1, we may prove that any of the vertices in  $B((V_d, W_d), e'_{2d-1})$  is not connected with the any of the one in  $B((V_\beta, W_\beta), e')$ , for  $\alpha \neq \beta$ .

And we may also prove that how many vertices in  $V \setminus (V_1, W_1, \dots, V_k, W_k)$  are not form the connected component. In case this is not so, then a connected component is formed. Hence in this connected component there must be an edge with minimum weight. Consequently, we obtain that another repeated member in  $E'$ . This contradicts the assumption on  $E'$ . Hence, the proposition is proved.

### 3. Algorithm DCA

Algorithm DCA Given a connected weighted graph  $G = (V, E)$ . Suppose  $G_i$  be a subgraph of  $G$  and it has  $K_i$  connected components.

Algorithm DCA of a subgraph  $G_i$  proceeds as follows:

Step 1. If  $K_i=1$ , then end;

Step 2. If  $K_i>1$ , then delete the edges connecting any two vertices of every connected components from  $E_i$ ;

Step 3. Choose an edge with minimum weight from remaining edges;

Step 4. Add this edge to  $G_i$  so obtain  $G_{i+1}$ .

Algorithm MST Let  $G=(V, E)$  be a connected weighted graph. Suppose  $G_1$  be the fundamental subgraph with  $K$  connected components of  $G$ .

Step 1. Proceed algorithm DCA of  $G_1$ ;

Step 2. Proceed algorithm DCA of  $G_2$ ;

.....

Step  $k-1$ . Proceed algorithm DCA of  $G_{k-1}$ .

Theorem 3.1 The algorithm MST generates a minimum spanning tree  $G_k$  for  $G$ .

Proof. The proof may follow from the definition 2.1 with the algorithm DCA.

Example 3.1 Determine the minimum spanning tree of graph of figure 1.1 (a).

We may obtain the fundamental subgraph of this graph (figure 1.1 (b)). Since  $K=1$ , therefore this subgraph is the minimum spanning tree.

Example 3.2 Determine the minimum spanning tree for the connected graph defined by distance matrix:

$$D = \begin{bmatrix} 0.0 & & & & & & \\ 0.9 & 0.0 & & & & & \\ 0.1 & 0.9 & 0.0 & & & & \\ 0.7 & 0.7 & 0.5 & 0.0 & & & \\ 0.2 & 0.9 & 0.4 & 0.5 & 0.0 & & \\ 0.9 & 0.9 & 0.8 & 0.9 & 0.8 & 0.0 & \\ 0.8 & 0.9 & 0.8 & 0.9 & 0.8 & 0.3 & 0.0 \\ 0.9 & 0.9 & 0.9 & 0.9 & 0.9 & 0.7 & 0.6 & 0.0 \end{bmatrix}$$

LET  $G=(V, E)$  denote the graph defined by matrix  $D$ , then we may obtain the fundamental subgraph  $G_1$  of  $G$  (figure 3.1 ).

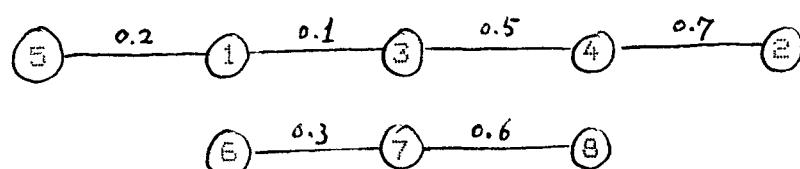


Figure 3.1 the fundamental subgraph.

It is thus clear that this subgraph has two connected components. Therefore delete the edges connecting any two vertices of every connected components from  $E$ , then may obtain the set of remaining edges:

$$S = \{(1, 6), (1, 7), (1, 8), (2, 6), (2, 7), (2, 8), (3, 6), (3, 7), (3, 8), (4, 6), (4, 7), (4, 8), (5, 6), (5, 7), (5, 8)\}$$

Now choose an edge  $(3, 6)$  with minimum weight 0.8 from  $S$  (naturally may choose any edge in  $\{(3, 6), (5, 6), (1, 7), (3, 7), (5, 7)\}$ )

Finally add this edge  $(3, 6)$  to the graph of Figure 3.1 we obtain following graph:

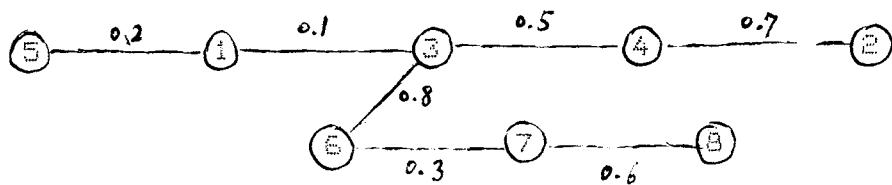


Figure 3.2 A minimum spanning tree .

This straightforward approach should always result in a minimum spanning tree.

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